

## Fee Schedule 服務收費

Hong Kong Shares Market 香港股票市場	
Brokerage Commission 經紀佣金	0.25% of transaction amount, minimum HKD 100 成交金額之 0.25%，最低港元 100
Stamp duty 印花稅	0.1% of transaction amount 成交金額之 0.1%
SFC transaction levy 交易徵收費	0.0027% of transaction amount 成交金額之 0.0027%
HKEX trading fee 交易費	0.00565% of transaction amount 成交金額之 0.00565%
Financial Reporting Council Transaction Levy 會財局交易徵費	0.00015% of transaction amount 成交金額之 0.00015%
CCASS Stock Settlement Fee 股票中央結算費	0.0042% of the transaction amount 成交金額之 0.0042%
Italian Financial Transaction Tax 意大利金融交易稅	0.1% of the net purchase amount 買盤淨金額的 0.1%

Hong Kong Shares Market - Other Service Charge 香港股票市場 - 其他費用	
<b>SI Fee 交收指示</b>	
Stock Deposit 股票存入	Free 免費
Stock Withdrawal 股票轉出	0.25% of the total value of the previous closing day of each stock, minimum HK\$200 handling fee per stock 上日收市總值的0.25%，最低港元200 /每隻
<b>Physical Scrip Instruction 實物股票指示</b>	
Physical Scrip Deposit 實物股票存入	Free 免費
Physical Scrip Withdrawal 實物股票提取	HKD 5 per board lot (per stock) 每隻股票每手港元5

<b>IPO Application 新股認購</b>	
Subscription Fee 認購費	HKD 100 per application 每份申請港元100
<b>Collection of Dividends/Interest or Scrip in lieu of cash dividend 代收現金股息或以股份代替現金股息</b>	
Handling Fee 手續費	0.5% on dividend, HKD 20 (min) / HKD 5,000 (max) 股息總值之0.5%，最低港元20 / 最高港元5,000
<b>Exercise of Warrants/Rights Issues/Open Offers/Conversion of Shares/Share Exchange Offers, Privatizations or Other Corporate Actions in respect of receipt of securities 代行使認股權證/供股權/公開配售/股票轉換/換股要約、私有化計劃或其他涉及收取股票的履行 權責事項</b>	
Handling Fee 手續費	HKD 2.5 per board lot, HKD 50 (min) / HKD 2,000 (max) 每手港元2.5，最低港元50 / 最高港元2,000
<b>Bonus Issue/Warrant/Subdivision/ Consolidation 代收紅股、認股權證 / 股票合併 / 分拆</b>	
Handling Fee 手續費	HKD 2.5 per board lot, HKD 50 (min) / HKD 2,000 (max) 每手港元2.5，最低港元50 / 最高港元2,000
<b>Cash Offers, Privatizations or Other Corporate Actions with cash Consideration 代處理現金收購、私有化計劃或其他涉及現金的履行權責事項</b>	
Handling Fee 手續費	0.5% on each cash consideration, HKD 50 (min) / HKD 5,000 (max), plus CCASS / company registrars' charges and any other related charges 按現金收購總值之0.5%，最低港元50 / 最高港元5,000，另加中央 結算 / 股票登記處收費及其他有關收費
<b>Dividend Claim Charges 追討股息費用</b>	
Handling Fee 手續費	HKD 500 each, plus CCASS / company registrars' charges and any other related charges 每次港元 500，另加中央結算 / 股票登記處收費及其他有關收費

## China A Shares Markets (China Stock Connect)

### 中國 A 股市場 (滬港通及深港通)

Brokerage Commission 經紀佣金	0.4% of transaction amount, minimum RMB 200 成交金額之 0.4%，最低人民幣 200
Stamp duty 印花稅	0.05% of transaction amount 成交金額之 0.05%
Handling Fee 經手費	0.00341% of transaction amount 成交金額之 0.00341%
Securities Management Fee 證管費	0.002% of transaction amount 成交金額之 0.002%
Transfer Fee 過戶費	0.003% of transaction amount 成交金額之 0.003%

## China A Shares Markets - Other Service Charge

### 中國 A 股市場 - 其他費用

<b>SI Fee 交收指示</b>	
Stock Deposit 股票存入	Free 免費
Stock Withdrawal 股票轉出	0.25% of the total value of the previous closing day of each stock, minimum CNY \$200 handling fee per stock 上日收市總值的 0.25%，最低人民幣 200 / 每隻
<b>Collection of Dividends/Interest or Scrip in lieu of cash dividend 代收現金股息或以股份代替現金股息</b>	
Handling Fee 手續費	0.5% on dividend, CNY 20 (min) / CNY 5,000 (max) 股息總值之 0.5%，最低人民幣 20 / 最高人民幣 5,000
<b>Exercise of Warrants/Rights Issues/Open Offers/Conversion of Shares/Share Exchange Offers, Privatizations or Other Corporate Actions in respect of receipt of securities 代行使認股權證/供股權/公開配售/股票轉換/換股要約、私有化計劃或其他涉及收取股票的履行權責 事項</b>	
Handling Fee 手續費	CNY 3 per board lot, CNY 100 (min) / CNY 5,000 (max) 每手人民幣 3，最低人民幣 100 / 最高人民幣 5,000
<b>Bonus Issue/Warrant/Subdivision/ Consolidation 代收紅股、認股權證 / 股票合併 / 分拆</b>	
Handling Fee 手續費	CNY 3 per board lot, CNY 100 (min) / CNY 5,000 (max) 每手人民幣 3，最低人民幣 100 / 最高人民幣 5,000

## US Shares Markets

### 美國股票市場

Brokerage Commission 經紀佣金	0.4% of transaction amount, minimum USD 50 成交金額之0.4%，最低美金50
U.S. SEC Fee (for sell only) 證監會費 (僅沽出時收取)	0.0000% of transaction amount 成交金額之0.0000%
Trading Activity Fee (for sell only) 交易活動費 (僅沽出時收取)	USD 0.000166 per share , min \$0.01/trade ; max \$8.30/trade 每股收費美金0.000166，每筆訂單最低美金0.01；最高美金8.30
Settlement Fee 交收費	USD 0.003 per share 每股美金0.003

## US Shares Markets - Other Service Charge

### 美國股票市場 - 其他費用

<b>SI Fee 交收指示</b>	
Stock Deposit 股票存入	Free 免費
Stock Withdrawal 股票轉出	USD 200 per stock per transaction 每隻股票每宗收費美金 200
<b>Collection of Dividends or Scrip in lieu of cash dividend 代收現金股息或以股份代替現金股息</b>	
Handling Fee 手續費	USD 20 per event 每宗美金 20
<b>Voluntary Corporate Action 自願性公司行動</b>	
Handling Fee 手續費	USD 80 per event 每宗美金 80
<b>Bonus Issue 代收紅股</b>	
Handling Fee 手續費	USD 20 per event 每宗美金 20

## Bonds Markets

### 債券市場

Brokerage Commission 經紀佣金	Back-to-back principal transactions, no more than 1% of notional amount 以主事人身份進行背對背交易，不超過名義面值的 1%
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## Bonds Markets - Other Service Charge

### 債券市場- 其他費用

SI Fee 交收指示	
Deposit 存入	USD 30 per transaction 每宗收費美金30
Withdrawal 轉出	USD 90 per transaction 每宗收費美金 90

#### Remarks 備註:

- The above Schedule is for reference only and does not cover all services we offer.  
 以上收費僅供參考，並未包括本公司提供的所有服務。
- Exercise of any corporate actions (including but not limited to cash or dividend election, voting instruction) should be at the request of the client.  
 所有行使企業行動(包括但不限於股息選擇、投票指示)，客戶須主動聯絡本公司代為安排。
- We may revise the contents at our discretion and without prior notification to you.  
 本公司可在不須預先通知而隨時修訂此收費表之內容。
- For further enquiries please contact us at +852 2153 9888.  
 如有任何查詢歡迎致電本公司 +852 2153 9888